



Transform how you stay compliant

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Streamlining Audit & Compliance and Empowering Nonprofits

At RoundTable Technology, we understand the unique compliance and audit challenges faced by nonprofit organizations. We are proud to offer Compliance as a Service (COMPaaS), a specialized solution tailored to meet the information technology, security and privacy needs of nonprofits. Our dedicated team of experts is committed to helping you streamline your compliance efforts and enhance operational efficiency, allowing you to spend more time focused on your mission, and less time dealing with audits, documentation and compliance controls.

According to marketing analysts, 98% of nonprofit organizations are subject to at least one federal or state compliance requirement. Many aren't even aware of which state or federal compliance requirements apply to their organization. In addition, many cyber liability and third party risk audits are becoming more and more complex and demand similar robust controls and documentation that mirrors local, state and federal regulations.

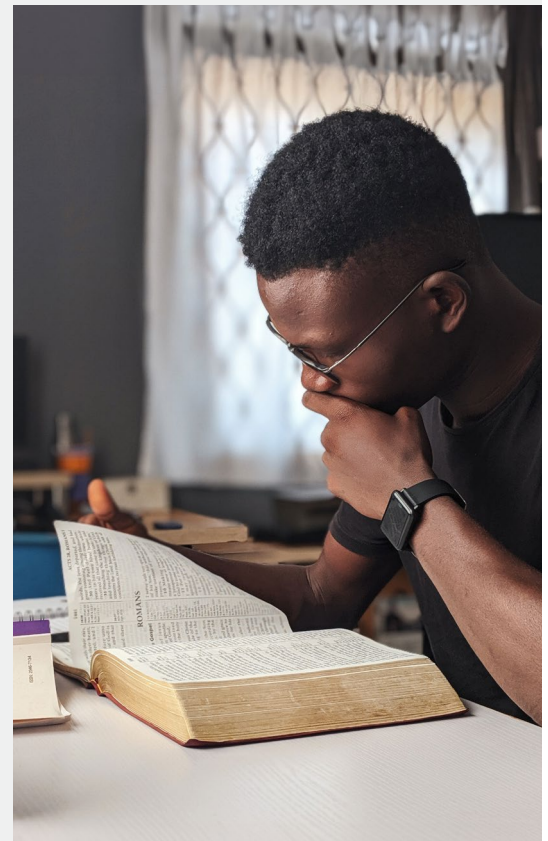
Let our team help you make sense out of your information technology, security and privacy compliance & audit needs and help build a lasting solution that minimizes your internal time and resources.



There are two phases to RoundTable Technology's Compliance as a Service program, Readiness and Monitoring & Maintenance.

The Readiness phase can take between one and six months to complete and is where the bulk of the work is done in getting your organization up to compliance standards. After this phase, your organization will have a clear picture of your compliance readiness and a roadmap to guide you.

- *Compliance Assessment:* Our COMPaaS team will conduct a thorough assessment of your existing compliance practices, then compare them against applicable legal and regulatory requirements to help identify areas where there are gaps.
- *Compliance Plan Development:* Based on the findings and recommendations from the assessment, our COMPaaS team works closely with your organization to develop a customized plan to address the gaps and ensure ongoing compliance with relevant laws.
- *Policy Review:* We work with you to develop a comprehensive documentation framework customized to your unique compliance requirements. This framework encompasses policies, procedures, and guidelines that align with your organization's operations, ensuring a solid foundation for compliance.
- *Compliance Controls Implementation:* Having processes in place is not enough. Your organization will need to demonstrate your practices and protocols are being followed. Our team will help you gather the evidence needed to prove you are compliant, and provide a central place to store and manage the documentation.
- *Training and Education:* We recognize the importance of educating staff and stakeholders on compliance requirements and best practices. Our team will help develop training programs and educational materials tailored to your organization's needs.



The Monitoring & Maintenance phase is where the “as a Service” comes into play. This involves long-term monitoring and maintenance of your compliance needs, with monthly reporting and check-ins with our Customer Success Team.

- *Ongoing Evidence Gathering and Management:* We simplify the process of organizing and managing your compliance documentation by using automation to continuously gather essential evidence into a centralized repository. From tracking your most recent policy versions, to maintaining training attendance records, we streamline evidence management to provide peace of mind and allow you to maintain your compliance and audit evidence year over year.
- *Compliance Reporting:* Our team guides you in generating comprehensive compliance reports, showcasing real-time adherence to regulations, security measures, training commitments and data protection protocols. These reports reinforce your commitment to compliance and build trust with stakeholders, such as regulatory bodies, donors, and grant-making organizations. In addition, these reports can be used with cyber insurance providers, which in many cases, can result in a decreased premium upon renewal.
- *Compliance Updates and Alerts:* We provide regular updates and alerts to your organization regarding these changes in legislation, regulations, and compliance requirements and how they may impact operations through your personalized online compliance portal and ongoing check-in sessions.
- *Customer Success Team:* Your Customer Success Team will be there with you every step of the way, providing guidance and support on how to navigate regulatory changes, offering best practices, and meeting with you regularly with updates.



RoundTable Technology recognizes that compliance is not a one-size-fits-all endeavor. That's why COMPaaS isn't a one-size-fits-all compliance solution. Each COMPaaS engagement is tailored to meet the needs and budget of your nonprofit and is built to grow and flex with your organization over time. You can start with one primary compliance need and add on other compliance or third party audit needs over time.

Our tools include a "cross-walk" concept that allows you to collect information for one compliance control and apply it to multiple projects, removing the need to recollect or re-do a large portion of the evidence collection. This saves valuable time and resources for organizations that are managing multiple compliance and audit regulations.

Examples of COMPaaS Solutions:

- NYS Shield Act
- Texas Cybersecurity Framework
- Third Party Risk Audits
- Federal Trade Commission Safeguards Rule
- PCI-DSS Compliance
- Cybersecurity Insurance Readiness (NIST/CIS frameworks)
- HIPAA
- NY Department of Financial Services (23 NYCRR 500)
- GDPR
- HITRUST

RoundTable Technology is dedicated to supporting nonprofit organizations in their compliance journey. With our specialized COMPaaS offering, we empower nonprofits to streamline information technology, security and privacy compliance and audit efforts, document and maintain compliance evidence effectively, and enhance operational efficiency.

[Contact us today to learn more about how our tailored COMPaaS solutions can benefit your nonprofit organization](#)